

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Timothy J. Smith et al

Group Art Unit:

Serial No.: 09/829,866

Examiner:

For: Delivery Management System Capacity Process and Display

INFORMATION DISCLOSURE STATEMENT

- ☒ Pursuant to 37 CFR 1.97(b)  
[within 3 months of filing or prior to 1st Office Action]
- ☐ [ 2 Certification Pursuant to 37 CFR 1.97(c)  
[before Final Office Action or Allowance]
- ☐ [ 3 Fee Payment Pursuant to 37 CFR 1.97(c)  
[before Final Office Action or Allowance]
- ☐ [ 4 Petition, Certification & Petition Fee Payment Pursuant to 37 CFR 1.97(d)  
[before issue fee payment]

Honorable Commissioner of Patents and Trademarks  
Washington, D.C. 20231

SIR:

The following are submitted in the above identified application in compliance with  
37 CFR 1.97 & 1.98:

- ☒ [xx]5 A list of documents on form PTO-1449 together with copies of each identified document and a translation  
or a concise explanation of each non-English language document is enclosed herewith.

This paper is submitted in accordance with:

- ☒ [ 6 37 CFR 1.97(b): [within 3 months of filing or prior to 1st Office Action]
- ☐ [ 7 37 CFR 1.97(c): [before Final Office Action or Allowance, whichever is earlier]; and
- ☐ [ 8 The required certification made in item 11 below; or
- ☐ [ 9 The \$200.00 fee specified in 37 CFR §1.17(p) for submission of this  
Information Disclosure Statement is authorized in item 14 below.
- ☐ [ 10 37 CFR §1.97(d): [before issue fee payment]; and
- a) This is a petition for consideration of the subject Information Disclosure Statement. The petition  
fee (\$130.00) required by 37 CFR 1.17(i)(1) is authorized in item 14 below. (Direct this letter to:  
"Attention PETITIONS EXAMINER" and if applicable include batch locator information: e.g.  
"Allowed Files, Batch \_\_\_\_\_, Date of Allowance \_\_\_\_\_"), and
- b) The required Certification is stated in item 11 below.

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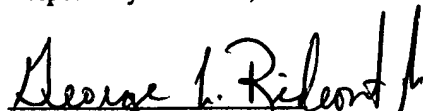
[ ]11 Certification

[ ]12 Each item of information contained in this Statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Statement; or

[ ]13 No item of information contained in this Statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to the knowledge of the person signing this document after making reasonable inquiry, was known to any individual designated in 37 CFR 1.56(c) more than three (3) months prior to the filing of this Statement.

[XX]14 Please charge all applicable fees associated with the submittal of this Information Disclosure Statement to Deposit Account No. 07-0844. An original and two (2) copies of this document are enclosed.

Respectfully submitted,



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9D-EC-19759

09/829,866

Applicant

Timothy Smith et al

Filing Date

Group

4/10/01

INFORMATION DISCLOSURE STATEMENT BY APPLICANT -  
LIST OF ITEMS

DEC 31 2001

(Use several sheets if necessary)

U.S. PATENT DOCUMENTS

*EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
AA	5 2 6 5 0 0 6	11/23/93	Asthana et al			
AB	5 6 6 6 4 9 3	9/9/97	Wofcik et al			
AC	5 7 5 8 3 2 9	5/26/98	Wojcik et al			
AD	6 0 8 5 1 7 0	7/4/00	Tsukuda			
AE						
AF						
AG						
AH						
AI						
AJ						
AK						

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FOREIGN PATENT DOCUMENTS

	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION
						YES NO
AL						
AM						
AN						
AO						
AP						

OTHER INFORMATION (Including Author, Title, Date, Pertinent)

AR	
AS	
AT	

EXAMINER

DATE CONSIDERED

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.